



# IBRAHIM SECURITIES LTD.

TREC No.-33, DHAKA STOCK EXCHANGE LTD.  
Corporate Extension Office, Swantex Bhaban (5th Floor)  
9/I, Motijheel C/A, Dhaka-1000.  
PABX : 47113273, 57163937, DSE-PABX-424

## SIGNATURE CARD

Date : .....

BOID 

1	2	0	2	2	8	0	0								
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Code No. 

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First Applicants

2nd Applicants

Nominee

P.O.A

Name of Client .....

Applicants	Name of Account Holder (In Block Letter)	Specimen Signature
First Applicants		
Joint Applicants		
Nominee		
P.O.A		
Authorised Person		



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## BO Account Opening Form

Bye Law 7.3.3(b)

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No .....

Date (ddmmyyy). ....

Please Tick whichever applicable

BO Category : Regular  Omnibus  Clearing  BO Type :- Individual  Company  Joint Holder

Name of CDBL participant (Upto 99 Characters)  
CDBL Participant ID  BO ID  Date Account Opened (DDMMYYYY)

I / We request you to open a Depository Account in my/our name as per the following details :

### 1. First Applicant

Name in Full of Account Holder (Up to 99 Characters) .....  
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs / Ms. /Dr. abbreviate only if over 30 characters) ..... Title ie Mr/Mrs/Ms./Dr  
(In case of a Company/Firm/Statutory Body) name of Contact Person .....  
In Case of individual Male  Female  Occupation ( 30 Characters) .....  
Father's/Husband's Name .....  
Mother's Name .....

### 2. Contact Details :

Address .....  
City ..... Post Code ..... State/Division ..... Country ..... Telephone .....  
Mobile Phone ..... Fax ..... E-mail .....

### 3. Passport Details :

Passport No. .... Issue Place..... Issue Date..... Expiry Date .....

### 4. Bank Details :

Routing Number ..... Bank Account No.....  
Bank Name ..... Branch Name ..... District Name.....  
Bank Identifier Code (BIC) ..... Swift Code ..... International Bank AC No. (IBAN).....  
Electronic Dividend Credit Yes  No  Tax Exemption if any. Yes  No  TIN/Tax ID .....

### 5. Others Information

Residency : Resident  Non Resident  Nationality ..... Date of Birth (DDMMYYYY)   
Statement Cycle Code Daily  Weekly  Fortnightly  Monthly  Other (Please Specify) .....  
Internal Ref. No. (To be Filled in by CDBL Participant) .....  
In Case of Company : ..... Date Account Opened (DDMMYYYY)   
Registration No .....

### 6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters) .....  
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs / Ms. /Dr. abbreviate only if over 30 characters) ..... Title i.e. Mr/Mrs/Ms./Dr

**8. Account Link Request**

Would you like to create a link to your existing Depository Account ?

Yes  No

if yes, then please provide the Depository BO Account Code (8 Digits)

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**9. Nominees/Heirs**

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder/all the joint account holders, a separate nomination Form-23 must be filed up and signed by account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details, if any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

**10. Power of Attorney (POA)**

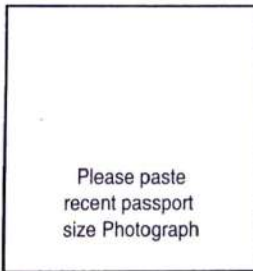
If account holder(s) wish to give a Power of Attorney (POA) to someone to operate The Account, a separate Form -20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

**11. To be filled in by the stock Broker/Stock Exchange in case the application is for opening a Clearing Account**

Exchange Name DSE  Trading ID .....

CSE  Trading ID .....

**12. Photograph**



(First Applicant)



(Second Applicant)



(Third Applicant)

**13. Standing Instructions**

I/We authorize you to receive facsimile (fax) transfer instruction for delivery.

**14. DECLARATION**

me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my /our knowledge as on the date of making such application. I/We further agree that any false /misleading information given by me/ us or suppression of any material fact will render my /our account liable for termination and further action .

Application	Name	Signature
First Applicant		
Second Applicant		
Third Applicant		

**15. Special Instructions on operation of Joint Account**

Either or Survivor       Any one Can operate       Any two will operate jointly  
 Account will be operated by \_\_\_\_\_ with any one of the others

**16. Introduction**

Introduction by an existing account holder of ..... **IBRAHIM SECURITIES LTD.** .....  
Depository Participant's Name

In confirm the identify, occupation and address of the applicant (s) .....  
Introducer's Name

..... Account ID 

1	2	0	2	2	8	0	0								
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(Signature of Introducer)



# IBRAHIM SECURITIES LTD.

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Corporate Extension Office, Swantex Bhaban (5th Floor)  
9/I, Motijheel C/A, Dhaka-1000.  
PABX : 47113273,57163937, DSE-PABX-424

## CUSTOMER ACCOUNT INFORMATION FORM

Customer Code :      BO ID :

Account Type: Cash  Margin  Account Status: Individual  Joint

Name of Customer/Account Holder:.....

Father's/Husband's Name:.....

Mother's Name:.....

Date of Birth.....Sex : Male  Female  Nationality :.....

NID No.:

Contact Phone Number:..... Contact Mobile Number :.....

Present Address:.....

Permanent Address:.....

### BANK ACCOUNT DETAILS :

Bank Name:  Branch  A/C No.

Joint Account Holder Name :.....

Farrier's/Husband's Name :.....

Mother's Name :.....

Date of Birth.....Sex : Male  Female  Nationality:.....

NID No.:

Present Address:.....

Prmanent Address :.....

### Details of Nominee :

1. Name :		Relation :	
Address :		Percentage :	
2. Name :		Relation :	
Address :		Percentage :	

### AUTHORISED PERSON DETAILS :

Name of Authorised person :.....

Present Address :.....

### DETAILS OF INTRODUCER :

Name of Introducer:.....

Present Address:.....

Account No. :.....

I/We hereby accept the terms and conditions and that I/We declare the information provided is true and correct

Authorized Signatory  
IBRAHIM SECURITIES LTD.  
Date :

Signature of Customer

Signature of Joint Account Holder

## **TERMS AND CONDITIONS FOR TRADING OF SHARES AND SECURITIES**

01. The execution of these terms and conditions and the acceptance of the client's application by IBRAHIM SECURITIES LTD. Shall constitute a binding contract.
02. The contract shall remain valid initially for the period of one year from the date of the acceptance of the client's application by IBRAHIM SECURITIES LTD. and shall be automatically renewed from year to year. The contract may be terminated by either party at anytime by a prior written notice of thirty days to the other
03. The terms "Shares" shall mean shares of quoted public companies and the term "Securities" shall mean securities including Debenture and Bonds issues by the Govt autonomous and semiautonomous bodies, listed companies etc. including money market instrument viz Treasury bills and bonds, Corporate Bills and Bonds National Savings Certificates, ICB Unites, Foreign Exchange and Currency. Bearer Certificates of Deposits and all other kinds of Securities and bonds trades on or purchased or sold at the Stock Exchange.
04. During the terms of this contract the client may from time to time place order upon IBRAHIM SECURITIES LTD. for purchase and sale of shares and securities. The order shall be from the client himself/herself or authorized person (as mentioned in the client account opening form) in case of individual or by authorized directors/officers/partners in case of companies, institutions and firms. Where a client is a company, institution or a firm, it shall nominate its directors, officers or partners from time to time who will be authorized to place the orders with orders with IBRAHIM SECURITIES LTD. for purchase and sale of shares and securities with their full names, designations and specimen signatures. IBRAHIM SECURITIES LTD. Shall not be bound to receive instruction or orders from persons other than the authorized directors/officers/partners.
05. IBRAHIM SECURITIES LTD. shall put forth its best endeavors to serve and execute the orders of the client. IBRAHIM SECURITIES LTD. shall make all purchase and sales of shares and securities during the trade hours of the relevant Stock exchange. Once any shares/securities are bought or sold in fulfillment of an order of the client. IBRAHIM SECURITIES LTD. shall endeavor to confirm the transaction by a memorandum of confirmation of purchase or sale of shares/securities, Which will be client IBRAHIM SECURITIES LTD. shall endeavor to confirm the transaction by a memorandum of confirmation of purchase or sale of shares/securities are purchased or sold, on behalf of the client.
06. While IBRAHIM SECURITIES LTD. shall endeavor to purchase or sale the shares/securities within the limit of the price that may be notified by the client. IBRAHIM SECURITIES LTD. does not guarantee or assure that transaction will be materialized within such notified limits. IBRAHIM SECURITIES LTD. would always endeavor to obtain the best price.
07. All contract of purchase/sale shall in addition to the terms and conditions enunciated herein, be subject to the rules, regulation and usage of the stock exchange (hereinafter referred to as "The Exchange") and to the Memorandum and Articles of Association of the Exchange.

### **PURCHASE CONTRACT**

08. In case of purchase contracts, deliveries will be affected to the client on the scheduled delivery dates mentioned in the memorandum of confirmation of purchase.
09. The client shall either pay 100% of the total value of the purchase order or deposit valid and negotiable securities documents to the value of 100% of the purchase order to placing an order. The client shall pay his balance dues on or before the settlement day the price including changes all securities purchased by him during the period of dealing for that settlement. If the client defaults for whatsoever reason he shall be liable to IBRAHIM SECURITIES LTD. for all loss or damage sustained or incurred. If for any reason the payment has not been made before the close of business on the settlement day, interest will accrue from the day after the settlement day on the outstanding amount @ 0.1% per day. In addition IBRAHIM SECURITIES LTD. shall have the absolute discretion to resell commencing from the market day after the day of settlement, the client is liable to the IBRAHIM SECURITIES LTD. for any loss or damage sustained or incurred.
10. IBRAHIM SECURITIES LTD. shall not be liable for delays in the deliveries of the shares/securities due to any corresponding delays on part of the members of the Exchange through whom the shares securities may have been purchased for the client or for any other reasons beyond Tariq Ibrahim & Co. control.
11. In case the client has authored IBRAHIM SECURITIES LTD. to maintain its investment with IBRAHIM SECURITIES LTD. on a continuing basis, the sale value of the shares/securities may be recovered by IBRAHIM SECURITIES LTD. by debiting the clients investment, provided sufficient balance is available to the client's credit, it is specifically agreed and understood that under no circumstances whatsoever IBRAHIM SECURITIES LTD. will allow any credit facilities or make purchase for the client on credit basis
12. If IBRAHIM SECURITIES LTD. has been appointed as a custodian, IBRAHIM SECURITIES LTD. on behalf of the client will remain the shares/securities purchased on credit basis.

### **SALE CONTRACT.**

13. For sale contracts, the clients shall deliver the valid shares/securities to IBRAHIM SECURITIES LTD. i.e. transfer document duly complete and signed by the client together with relative securities with valid title, prior to placing a sale order.
14. If the shares securities sold are lying with IBRAHIM SECURITIES LTD. as custodian for the client. Tariq Ibrahim shall have the power to effect deliveries directly to the purchasers on behalf of the client.
15. IBRAHIM SECURITIES LTD. shall not be liable for any delays in the payment and or deliveries of securities to be made by the member of the exchange through who the shares/securities have been sold/bought by IBRAHIM SECURITIES LTD. on behalf of the client.

### **NOMINEE**

16. In the event of death of one of the joint account holder, the survivor, and on the death of sole account holder the nominee or successor shall be the

### **GENERAL**

17. In the Event of the client's refusal to accept delivery and to make payment against any purchase any purchase contract or refusal or delay in effecting delivery/against any sale contract, the client shall be liable to compensate IBRAHIM SECURITIES LTD. for all losses and damage, including the cost as well as legal expenses which IBRAHIM SECURITIES LTD. may sufferer sustain on account of the client's delay or default as mentioned above. IBRAHIM SECURITIES LTD. on due date.
18. IBRAHIM SECURITIES LTD. shall not be responsible or liable for any error, omission or mistake appearing in the list shares annexed to any cost memo or proceeds bill or for any consequences arising there from.
19. IBRAHIM SECURITIES LTD. shall not be liable for any fluctuations in the market prices of the shares/securities purchased or sold on behalf of the client for any reason is whatsoever and the net rates/prices mentioned in any Memorandum of confirmation of sale or purchase shall be considered as the concluded final rates/prices binding upon both parties.
20. Tariq Ibrahim & Co, shall not be liable for fraud, forgery misrepresentation or any other act or omission on part of any constituent or member of any of the stock exchange or their respective clients and the shares/securities shall be deemed to have been purchased or sold at the risk and cost of the client with no obligations of IBRAHIM SECURITIES LTD.



**Nominee 2**  
 Name in Full .....

Short Name of Power of Nominee (Insert full name starting with Title i.e.Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters) Title i.e.Mr./Mrs

Relationship with A/C Holder ..... Percentage (%) .....

Address:.....

City ..... Post Code..... State/Division ..... Country ..... Telephone .....

Mobile Phone ..... Fax ..... E-mail .....

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

NID No. :

Residency : Resident  Non Resident  Nationality ..... Date of Birth (DDMMYYYY)

**Guardian's Details (if Nominee is a Minor)**

Name in Full:.....

Short Name (Insert full name starting with Title i.e.Mr./Mrs./Ms/Dr, abbreviate only if over 30 characters)

Relationship with Nominee ..... Date of Birth of minor (DDMMYYYY) ..... Maturity Date of Minor (DDMMYYYY).....

Address:.....

City ..... Postcode ..... State/Division ..... Country ..... Telephone .....

Mobile Phone .....Fax ..... E-mail .....

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

Residency : Resident  Non Resident  Nationality ..... Date of Birth (DDMMYYYY)

NID No. :

**2. Photograph of Nominee/Heirs**

Please paste recent passport size Photograph	Please paste recent passport size Photograph	Please paste recent passport size Photograph	Please paste recent passport size Photograph
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	Nominee/Heir 1	Nominee/Heir 2	Guardian 1	Guardian 2
	Name		Signature	
Nominee/Heir 1				
Guardian 1				
Nominee/Heir 2				
Guardian 2				
First Account Holder				
Second Account Holder				
Third Account Holder				



# Ibrahim Securities Ltd.

Know Your Customer (KYC) profile Form  
DSE TREC Holder No.33 Corporate Extension office, Swantex Bhaban (5th Floor)  
9/1, Motijheel C/A, Dhaka-1000.

01. Name of Account Holder:

02. Account Type: Cash  Margin  03. Trading ID:

03. BO Account No.: 12022800

04. Name of Officer Opening the Account:

05. Nature of Business and Source of Fund.

06. Describe how the source of fund has been verified, and confirmation of whether or not the amount of transaction is commensurate with the nature of business described when the relationship was established:

07. Who is the Beneficial Owner of the account (Detailed information of the shareholder controlling the company and the single shareholder holding 20% or more share):

08. Passport No. : \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

09. Voter ID Card No. : \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

10. National ID Card No.: \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

11. TIN No. : \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

12. VAT Registration No.: \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

13. Driving License No. : \_\_\_\_\_ Photocopy Obtained? Yes/No (If Applicable)

14. For Non-Resident and Foreigners ensure the reason for opening the account in Bangladesh. Type of visa (Resident/Work):

15. What does the Customer do/in what type of business is the customer engaged?

Sl.	Category	Risk Level	Score
01	Jewelry/Gems Trade	High	5
02	Money Changer/Courier Service Agent	High	5
03	Real Estate Agent	High	5
04	Promoter of Construction Project	High	5
05	Offshore Corporation	High	5
06	Arts/Antique Dealer	High	5
07	Owner of Restaurant/ Bar/Night Club/Residential Hotel	High	5
08	Import/Export Agent	High	5
09	Cash Investor (Monthly Cash Investment> Tk. 25 Lac)	High	5
10	Share/Stock Broker	High	5
11	Manpower Export Business	High	5
12	Operations in Multiple Locations	High	5
13	Movie Producer/Distribution Agency	High	5
14	Arms Trade	High	5
15	Mobile Phone Operator	High	5
16	Traders (Annual Turnover of More than Tk. 1Crore)	High	4
17	Travel Agent	High	4
18	Transport Operator	Medium	3
19	Auto Dealer (Reconditioned Car)	Medium	3
20	Leasing/Finance Company	Medium	3
21	Freight/Shipping/Cargo Agent	Medium	3

Sl.	Category	Risk Level	Score
22	Insurance/Brokerage Agency	Medium	3
23	Religious Institute/Organization	Medium	3
24	Amusement Organization/Park	Medium	3
25	Motor Parts Trader	Medium	3
26	Tobacco & Cigarette Business	Medium	3
27	Auto Primary (New Car)	Low	2
28	Shop Owner (Retail)	Low	2
29	Business Agents	Low	2
30	Small Trader (annual Turnover less than Tk.50 Lac)	Low	2
31	Self - Employed Professional/ Entrepreneur	Low	2
32	Corporate Customer	Low	2
33	Hardware/Construction Materials Trader	Low	2
34	Computer/Mobile Phone Dealer	Low	2
35	Software Business	Low	1
36	Manufacturer (Other than Arms)	Low	1
37	Retired from Service	Low	0
38	Service	Low	0
39	Student	Low	0
40	Housewife	Low	0
41	Farmer	Low	0
42	Others _____ (Risk Score may be assigned depending on Category)		



NOTE: For tables 16-21 below. The Upper Limit of a Level will fall under the same Level.  
For Example, Tk. 50 Lac will fall under the Level 0-50 Lac.

16. What is the Net Worth? Sales Turnover of the Customer :

Amount (Tk.)	Risk Level	Risk Rating
0 - 50 Lac	Low	0
50 Lac-2 Crore	Medium	1
> 2 Crore	High	3

17. How was the account opened:

Type	Risk Level	Risk Rating
By the Relationship Manager/Branch	Low	0
By Direct Sales Agent	Medium	1
Internet	High	3
Walk-in/Unsolicited	High	3

18. Expected Amount of Monthly Total Transactions :

Amount of total Transaction in Trading A/C (Tk.Lac)	Risk Level	Risk Rating
0-10	Low	0
10-50	Medium	1
>50	High	3

19. Expected Number of Monthly Total Transactions :

Number of total Transaction in Trading A/C	Risk Level	Risk Rating
0-100	Low	0
101-250	Medium	1
>250	High	3

20. Expected Amount of Monthly Cash Transactions :

Amount of Cash Transaction in Trading A/C (Tk. Lac)	Risk Level	Risk Rating
0-15	Low	0
16-30	Medium	1
>30	High	3

21. Expected Number of Monthly Cash Transactions :

Number of Cash Transaction in Trading A/C	Risk Level	Risk Rating
0-15	Low	0
16-30	Medium	1
>30	High	3

22. Overall Risk Assessment :

Total Calculated Rating	Risk Rating	Risk Assessment	Meaning
	>=14	High	(Risk Money Laundering Is High)
	<14	Low	Risk Money Laundering Is Low)

Comments:

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(\* Although Risk Rating may be less than 14, nevertheless the customer may be classified as High Risk Customer depending on subjective judgement mentioning reasons)

23. Has the address(es) of the Account Holder been verified?	Yes / No
24. If Yes, How verified?	

25. Politically Exposed Persons (PEPs). (Ref. AML Circular No. 24)	
A. Obtained Approval from Senior Management?	Yes / No
B. Source of Fund :	
C. Face to Face Interview with the Customer :	Yes / No

Prepared by: (A/C Opening Officer/Customer Service Officer)	Approved by: (Branch Manager/Branch In charge)
Signature (with Seal):	Signature (with Seal):
Name :	Name :
Date :	Date :

26. When was the Account related information Reviewed & Updated Last?

Reviewed & Updated by :
Signature (with Seal):
Name :
Date :



# IBRAHIM SECURITIES LTD.

TREC No.-33, DHAKA STOCK EXCHANGE LTD.  
Corporate Extension Office, Swantex Bhaban (5th Floor)  
9/I, Motijheel C/A, Dhaka-1000.

PABX : 47113273,57163937, DSE-PABX-424

Central Depository Bangladesh Limited (CDBL)

**Depository Account (BO Account) opened with CDBL Participant**

## **Terms & Conditions-Bye Laws 7.33(c)**

CDBL Participant, Dhaka/ Chittagong/ Shylhet, Bangladesh.

Dear Sir,

Please open a Depository account (BO Account) in my/ our name (s) on the terms and conditions set out below. In consideration of ..... IBRAHIM SECURITIES LTD. .... (the "CDBL Participant") opening the account providing depository account facilities to me/ us. I/ we have signed the BO Account Opening form as a token of acceptance of the terms and conditions set out below:

1. I/ we agree to be bound by The Depositories Act, 1999, Depositories Regulation, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Opening Instructions issued from time to time By CDBL.
2. CDBL shall allocate a unique identification number to me/ us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/ us, unless the I/ we instruct the CDBL Participant keep the securities in an Omnibus Account of the CDBL Participant shall, however, ensure that my/ our securities shall not be mixed with the CDBL participant's own securities.
3. I/ we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/ our account, for carrying out the instruction and for rendering such other services as are incidental or consequential to my/ our holding securities in and transacting through the said depository account with me CDBL Participant.
4. I/we shall be responsible for :-
  - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying document.
  - (b) The authenticity and genuineness of all certificates and or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization.
  - (c) Title to the securities submitted to the CDBL Participant from time to time form dematerialization.
  - (d) Ensure at all times that the securities to the credit of my/ our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction/ transfer.
  - (e) Informing the CDBL Participant at the earliest of any changes in my/ our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature etc.
  - (f) Furnishing accurate identification details whilst subscription to any issue of securities.
5. I/ we shall notify the CDBL Participant of any changes in the particulars set in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/ us by reason of my/ our failure to intimate such change to the CDBL Participant at the earliest
6. Where I/ we have executed a BO Account Nomination Form :-
  - (a) IN the event of my/ our death, the nominee shall receive/ draw the securities held in my/ our account.
  - (b) In the event the nominee so authorized remains a minor at the time of my/ our death, the legal guardian is authorized to receive/ draw the securities held in my/ our account.
  - (c) The nominee so authorized, shall be entitled to all my/ our account to the exclusion of my other persons i.e., my/ our heirs executors and administrators and all other persons claiming through or under me/ us and delivery of securities to the nominee in pursuance of this authority shall be binding on other persons.
7. I/ we may at any time call upon the CDBL Participants to close my/ our account with the CDBL Participants provided no instructions remain pending or unexecuted and no Fees/pr charges remain Payable by me/ us to the CDBL Participant. In such event I/we may close my/ our account by executing the account closing form if no balance are standing to my/ our credit in the account. In case any balances of securities exist in the account the account may be closed by me/ us in one of the following ways:-
  - (a) By dematerialization of all existing balance in my/ our account.

- (b) By transfer of all existing balance in my/ our account to one or more on my/ our other account (s) held with any other CDBL Participant (s).
- (c) By dematerialization of a part of the existing balance in my/ our account and by transferring the rest to one or more of my/ our others account (s) with any other CDBL Participant (s).
8. CDBL Participant covenants that it shall
- (a) Act only on the instruction or mandate of the account holder or that of such person (s) as may have been duly authorized by the Account Holder in that behalf.
- (b) No effect any debit or credit to and form the account of the account holder without appropriate instruction from the account holder.
- (c) Maintain adequate audit trail of the execution of the instruction of the account holder.
- (d) Not honor or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless :-
- (i) Such instruction are issued by the Account Holder under his signature or that of his/ its constituted attorney duly authorized in that behalf
- (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/ its constituted attorney available on the records of the CDBL Participant;
- (iii) The Balance of clear securities available in the Account Holder's account are sufficient to honor the Account Holder's instructions.
- (a) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of us financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on Payment of such charges by the Account Holder as may be specified by the CDBL Participant, The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statement.
- (f) Promptly attend to all grievances/ complaints of the Account Holder and shall resolve all such grievances/ complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forth with forward to follow up with CDBL all other grievances/complains of the Account Holder on the same being brought the notice of the CDBL participant endeavor to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder :-
- (a) Failing to pay the fess or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf.
- (b) Submitting for dematerialization any certificate or other documents of title which are forged, fabricated, counterfeit or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his/ its dealing with the CDBL Participant.
- (d) Otherwise misconducts himself in any manner, Declarations and Signature.

I/we hereby acknowledge that I/ we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participants and agree to comply with them.

Applicants	Name of applicant/Authorized signatories in case of Ltd. Co	Signature with Date
First Applicant		
Second Applicant		
Third Signatory (Ltd Co. Only)		